







#	Source	KYC/AML/CFT/Sanctiuni Financiare Cele mai importante evenimente ale perioadei 14.10.2024 - 18.10.2024
1	1	<b>Tendințe, probleme și riscuri emergente, rapoarte mediatice pentru sectorul financiar-bancar</b>
2	1	<p><b>[16/October/2024] Joint Statement on Establishing Multilateral Sanctions Monitoring Team for Implementation of UN Security Council Resolutions Regarding the DPRK</b></p> <p>In light of the veto which disbanded the UN Security Council's 1718 Committee Panel of Experts this year, 11 countries express the intention to establish a Multilateral Sanctions Monitoring Team (MSMT), a multilateral mechanism to monitor and report violations and evasions of the sanction measures stipulated in the relevant UNSCRs. The goal of the new mechanism is to assist the full implementation of UN sanctions on the DPRK by publishing information based on rigorous inquiry into sanctions violations and evasion attempts. The members of the Multilateral Sanctions Monitoring Team are United States of America, Australia, Canada, France, Germany, Italy, Japan, the Netherlands, New Zealand, the Republic of Korea, and the United Kingdom.</p> <p><a href="https://www.state.gov/joint-statement-on-establishing-multilateral-sanctions-monitoring-team-for-implementation-of-un-security-council-resolutions-regarding-the-dprk/">https://www.state.gov/joint-statement-on-establishing-multilateral-sanctions-monitoring-team-for-implementation-of-un-security-council-resolutions-regarding-the-dprk/</a></p>
3	2	<b>Avertizări și rapoarte, analize tematice ale autorităților naționale și internaționale</b>
4	2	<p><b>[18/October/2024] ESMA launches survey on legal entities identifiers</b></p> <p>The European Securities and Markets Authority (ESMA), the EU's financial markets regulator and supervisor, has published a survey on legal entities identifiers, aiming to gather evidence on the impacts of including alternatives for reporting or record keeping requirements.</p> <p><a href="https://www.esma.europa.eu/press-news/esma-news/esma-launches-survey-legal-entities-identifiers">https://www.esma.europa.eu/press-news/esma-news/esma-launches-survey-legal-entities-identifiers</a></p>
5	2	<p><b>[16/October/2024] UN expert concerned at reported use of family ties as sole grounds for sanctions designations</b></p> <p>A UN expert urged the Council of the European Union and the Government of Switzerland to review their imposition of sanctions on Aleksandra Melnichenko, the spouse of sanctioned Russian billionaire Andrey Melnichenko, and allow access to evidence used for her designation under restrictive measures frameworks. The EU Council imposed restrictive measures against Aleksandra Melnichenko on 3 June 2022 for being an "associate" with her husband for his alleged ties with the Russian Government. In March this year, the Council maintained the restrictive measures on her alleging that "(...) Aleksandra Melnichenko takes advantage of the fortune and benefits from the wealth of her husband. (...) she is an immediate family member benefitting from her husband and linked to him by common financial interests".</p> <p><a href="https://www.ohchr.org/en/press-releases/2024/10/un-expert-concerned-reported-use-family-ties-sole-grounds-sanctions">https://www.ohchr.org/en/press-releases/2024/10/un-expert-concerned-reported-use-family-ties-sole-grounds-sanctions</a></p>


6	2	UNDOC	<p><b>[14/October/2024] Transnational Organized Crime and the Convergence of Cyber-Enabled Fraud, Underground Banking and Technological Innovation in Southeast Asia: A Shifting Threat Landscape</b></p> <p>The transnational organized crime threat landscape in Southeast Asia is evolving faster than in any previous point in history. This change has been marked by growth in the production and trafficking of synthetic drugs and cyber-enabled fraud, driven by highly sophisticated syndicates and complex networks of money launderers, human traffickers, and a growing number of other service providers and facilitators. Despite mounting enforcement efforts, cyber-enabled fraud has continued to intensify, resulting in estimated financial losses between US \$18 billion and \$37 billion from scams targeting victims in East and Southeast Asia in 2023. A predominant proportion of these losses were attributed to scams committed by organized crime groups in Southeast Asia.</p> <p><a href="https://www.unodc.org/roseap/uploads/documents/Publications/2024/TOC_Convergence_Report_2024.pdf">https://www.unodc.org/roseap/uploads/documents/Publications/2024/TOC_Convergence_Report_2024.pdf</a></p>
7	3	 Alerte de terorism și schimbări în regimurile de sancțiuni	
8	3	US	<p>OFAC-Specially Designated Nationals and Blocked Persons (SDN) List* <a href="https://www.treasury.gov/ofac/downloads/sdnlist.pdf">https://www.treasury.gov/ofac/downloads/sdnlist.pdf</a></p> <p>OFAC-Sectoral Sanctions Identifications (SSI) List <a href="https://www.treasury.gov/ofac/downloads/ssi/ssilist.pdf">https://www.treasury.gov/ofac/downloads/ssi/ssilist.pdf</a></p> <p>OFAC-List of Foreign Financial Institutions Subject to Correspondent Account or Payable-Through Account Sanctions (CAPTA List) <a href="https://www.treasury.gov/ofac/downloads/capta/captalist.pdf">https://www.treasury.gov/ofac/downloads/capta/captalist.pdf</a></p>
9	3	EU	<p>European Union Consolidated Financial Sanctions List* <a href="https://webgate.ec.europa.eu/fsd/fsf/public/files/pdfFullSanctionsList/content?token=n002g3ky">https://webgate.ec.europa.eu/fsd/fsf/public/files/pdfFullSanctionsList/content?token=n002g3ky</a></p> <p><a href="https://data.europa.eu/data/datasets/consolidated-list-of-persons-groups-and-entities-subject-to-eu-financial-sanctions?locale=en">https://data.europa.eu/data/datasets/consolidated-list-of-persons-groups-and-entities-subject-to-eu-financial-sanctions?locale=en</a></p>
10	3	UN	<p>United Nations Security Council Consolidated List <a href="https://scsanctions.un.org/consolidated/">https://scsanctions.un.org/consolidated/</a></p>
11	3	US	<p><b>[16/October/2024] OFAC - SDN* - Russia-related Designations; Counter Terrorism Designations and Removal; Iran-related Designation Removal</b></p> <p>OFAC targeted 3 entities and 1 individual for their involvement in the development and production of Russia's Garpiya series long-range attack unmanned aerial vehicle (UAV). OFAC sanctioned 8 companies, individuals, and vessels for their ties to Islamic Revolutionary Guard Corps-Qods Force (IRGC-QF)-backed Houthi financial official Sa'id al-Jamal (al-Jamal) and his network.</p> <p><a href="https://ofac.treasury.gov/recent-actions/20241017">https://ofac.treasury.gov/recent-actions/20241017</a></p>
12	3	US	<p><b>[16/October/2024] OFAC - SDN* - Counter Terrorism Designations; Syria Designations</b></p> <p>OFAC designated 3 individuals and 4 associated companies involved in a Lebanon-based sanctions evasion network that generates millions of dollars in revenue for Hizballah.</p> <p><a href="https://ofac.treasury.gov/recent-actions/20241016">https://ofac.treasury.gov/recent-actions/20241016</a></p>


13	3	EU	<p><b>[16/October/2024] Switzerland implements further measures in 14th EU sanctions package</b></p> <p>The Swiss Federal Council decided to adopt most of the measures in the EU's 14th package of sanctions against Russia. The new measures came into force on 17 October. On 8 July, 116 individuals and entities were already added to Switzerland's sanctions list, and on 21 August the first measures in the 14th sanctions package were adopted.</p> <p><a href="https://www.admin.ch/gov/en/start/documentation/media-releases.msg-id-102820.html">https://www.admin.ch/gov/en/start/documentation/media-releases.msg-id-102820.html</a></p>
14	3	US	<p><b>[16/October/2024] OFAC - SDN* - Counter Terrorism Designations</b></p> <p>OFAC designated the Samidoun Palestinian Prisoner Solidarity Network, or "Samidoun," a sham charity that serves as an international fundraiser for the Popular Front for the Liberation of Palestine (PFLP) terrorist organization. The PFLP, which was designated as a Foreign Terrorist Organization and a Specially Designated Global Terrorist by the U.S. Department of State in October 1997 and October 2001, respectively, uses Samidoun to maintain fundraising operations in both Europe and North America. Also designated Khaled Barakat member of the PFLP's leadership.</p> <p><a href="https://ofac.treasury.gov/recent-actions/20241015">https://ofac.treasury.gov/recent-actions/20241015</a></p>
15	3	EU	<p><b>[15/October/2024] COUNCIL DECISION (CFSP) 2024/2702 amending Decision (CFSP) 2023/2287 concerning restrictive measures in view of the situation in Niger</b></p> <p>The restrictive measures have been extended until 24 October 2025.</p> <p><a href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024D2702">https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024D2702</a></p>
16	3	EU	<p><b>[15/October/2024] COUNCIL DECISION (CFSP) 2024/2695 amending Decision (CFSP) 2018/1544 concerning restrictive measures against the proliferation and use of chemical weapons</b></p> <p>The restrictive measures have been extended until 16 October 2025.</p> <p><a href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024D2695">https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024D2695</a></p>
17	3	EU	<p><b>[15/October/2024] COUNCIL DECISION (CFSP) 2024/2693 amending Decision 2010/638/CFSP concerning restrictive measures in view of the situation in Guinea</b></p> <p>The restrictive measures have been extended until 27 October 2025.</p> <p><a href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024D2693">https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024D2693</a></p>
18	3	EU	<p><b>[14/October/2024] COUNCIL IMPLEMENTING REGULATION (EU*) 2024/2700 implementing Regulation (EU) 2023/888 concerning restrictive measures in view of actions destabilising the Republic of Moldova</b>  <b>COUNCIL DECISION (CFSP) 2024/2701 amending Decision (CFSP) 2023/891 concerning restrictive measures in view of actions destabilising the Republic of Moldova</b></p> <p>5 persons and 1 entity have been added to the list of natural and legal persons, entities and bodies set out in Annex I to Regulation (EU) 2023/888.</p> <p><a href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024R2700">https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024R2700</a></p> <p><a href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024D2701">https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024D2701</a></p>

19	3	EU	<p>[14/October/2024] <b>COUNCIL IMPLEMENTING REGULATION (EU*) 2024/2697</b> implementing Regulation (EU) 2023/1529 concerning restrictive measures in view of Iran's military support to Russia's war of aggression against Ukraine and to armed groups and entities in the Middle East and the Red Sea region</p> <p><b>COUNCIL DECISION (CFSP) 2024/2698</b> amending Decision (CFSP) 2023/1532 concerning restrictive measures in view of Iran's military support to Russia's war of aggression against Ukraine and to armed groups and entities in the Middle East and the Red Sea region</p> <p>7 persons and 7 entities have been included in the list of natural and legal persons, entities and bodies subject to restrictive measures set out in the Annex III to Regulation (EU) 2023/1529 related to repeatedly transferred to Russia Iranian-made UAVs and related technologies, which have been used in Russia's war of aggression against Ukraine.</p> <p><a href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024R2697">https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024R2697</a></p> <p><a href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024D2698">https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024D2698</a></p>
20	4	 Evaluarea riscurilor la nivel european realizată de Comisia Europeană	
21	4	EBA	<p><b>EBA Opinion</b> on money laundering and terrorist financing risks across the EU was published on [13/July/2023]</p> <p><a href="https://www.eba.europa.eu/sites/default/documents/files/document_library/Publications/Opinions/2023/1058335/EBA%20Op%202023%2008%20Opinion%20on%20MLTF%20risks%20EBA%20REP%202023%2021.pdf">https://www.eba.europa.eu/sites/default/documents/files/document_library/Publications/Opinions/2023/1058335/EBA%20Op%202023%2008%20Opinion%20on%20MLTF%20risks%20EBA%20REP%202023%2021.pdf</a></p>
22	4	EU	<p>The EU report of the risk of money laundering and terrorist financing affecting the internal market and relating to cross-border activities (<b>EU SNRA</b>) was issued on [27/October/2022]</p> <p><a href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52022DC0554">https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52022DC0554</a></p> <p><a href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52022SC0344">https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52022SC0344</a></p>
23	5	 Informații și evaluări efectuate de autoritățile naționale competente cu privire la riscurile la nivel național, declarații și avertizări politice sau expuneri de motive pentru legislația relevantă	
24	5	ROMANIA	<p>The main findings and conclusions of the <b>Romania ML/TF National Risk Assessment (NRA)</b> was published on [22/November/2022]</p> <p><b>RO:</b> <a href="http://www.onpcsb.ro/pdf/Rezumat%20NRA%20Public.pdf">http://www.onpcsb.ro/pdf/Rezumat%20NRA%20Public.pdf</a></p> <p><b>EN:</b> <a href="http://www.onpcsb.ro/pdf/Summary%20of%20the%20National%20Risk%20Assessment%20Report%20on%20Money%20Laundering%20and%20Terrorism%20Financing.pdf">http://www.onpcsb.ro/pdf/Summary%20of%20the%20National%20Risk%20Assessment%20Report%20on%20Money%20Laundering%20and%20Terrorism%20Financing.pdf</a></p>
25	6	 Orientări, ghiduri, instrucțiuni sau atenționări punctuale, dar și, după caz, motivări ale aplicării amenzilor pentru încălcarea reglementărilor aplicabile SB/FT	
26	6	EU	<p>[16/October/2024] <b>COMMISSION RECOMMENDATION (EU) 2024/2659</b> on guidelines on the export of cyber-surveillance items under Article 5 of Regulation (EU) 2021/821 of the European Parliament and of the Council</p> <p>This Recommendation and the Guidelines attached thereto aim at supporting exporters in the application of controls on non-listed cyber-surveillance items, including, inter alia, due diligence measures assessing risks related to the export of such items.</p> <p><a href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=OJ%3AL_202402659">https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=OJ%3AL_202402659</a></p>

27	7		<b>Avertismente, amenzi și condamnări pentru încălcarea legislației KYC/AML/CTF/FiSa/Compliance pe piața financiară</b>
28	7	US	<p><b>[17/October/2024] Settlement Agreement between OFAC and Vietnam Beverage Company Limited</b></p> <p>OFAC announced an \$860,000 settlement with Vietnam Beverage Company Limited (VBCL). VBCL, a Vietnam-based alcoholic beverage company, has agreed to settle its subsidiaries' potential civil liability for 43 apparent violations of the North Korea Sanctions Regulations. Between April 2016 and October 2018, VBCL's subsidiaries caused U.S. financial institutions to process approximately \$1,141,547 in payments for the sale of alcoholic beverages to North Korea. This settlement amount reflects OFAC's determination that conduct at issue was not voluntarily disclosed and constituted a non-egregious case.</p> <p><a href="https://ofac.treasury.gov/recent-actions/20241017_33">https://ofac.treasury.gov/recent-actions/20241017_33</a></p>
29	8		<b>Acțiuni de aplicare a legii pentru infracțiunile de spălare a banilor și sancțiuni internaționale</b>
30	8	EUROPOL	<p><b>[18/October/2024] 47 sedative pills traffickers arrested</b></p> <p>An action jointly carried out by Estonian, Finnish, Romanian, and Serbian law enforcement, supported by Europol and Eurojust, has seen the arrest of 47 drug traffickers. The criminal network sourced large quantities of psychotropic medical tablets in Serbia, trafficked them to Romania and distributed them to Estonia, Finland and Norway to be sold on the streets. The pills seized during the operations carried out by the national authorities have a market value of approximately EUR 12.5 million.</p> <p><a href="https://www.europol.europa.eu/media-press/newsroom/news/47-sedative-pills-traffickers-arrested">https://www.europol.europa.eu/media-press/newsroom/news/47-sedative-pills-traffickers-arrested</a></p>
31	8	US DOJ	<p><b>[15/October/2024] OLAF intelligence supports Spanish Operation enforcing EU sanctions against Russia</b></p> <p>The European Anti-Fraud Office (OLAF) assisted the Spanish authorities with the enforcement of EU sanctions against Russia during Operation "Probirka" (Russian for "Test Tube"). The operation led to the arrest of four individuals involved in the illegal export of chemicals to Russia and the seizure of 13 tons of chemical substances.</p> <p><a href="https://anti-fraud.ec.europa.eu/media-corner/news/olaf-intelligence-supports-spanish-operation-enforcing-eu-sanctions-against-russia-2024-10-15_en">https://anti-fraud.ec.europa.eu/media-corner/news/olaf-intelligence-supports-spanish-operation-enforcing-eu-sanctions-against-russia-2024-10-15_en</a></p>
32	9		<b>Amenințări, alerte și tipologii</b>
33			Nothing relevant during this period
34	10		<b>Indici de percepție a corupției și rapoarte de țară</b>
35	10	Transparency International	<p><b>2023 Corruption Perceptions Index has been released on [30/January/2024]</b></p> <p>The 2023 Corruption Perceptions Index (CPI) was published by Transparency International. The global average remains unchanged for over a decade at just 43 out of 100. More than two-thirds of countries score below 50, while 23 countries have fallen to their lowest scores yet. Here is the score for some relevant countries from each risk class : Denmark 90(=) ranked first; Austria 71(=); Lithuania 61(=); Malta 51(=); Romania 46(=); Moldova 42(+3); Pakistan 29(+2); Somalia 11(-1) ranked last. The average score of Western Europe&amp;EU is 65, with max Denmark 90(=) and min Hungary 42(=). The biggest changes have been registered by Afghanistan 20 dropped the most (-4) and Egypt 35 increased the most (+5).</p> <p><a href="https://images.transparencycdn.org/images/CPI-2023-Report.pdf">https://images.transparencycdn.org/images/CPI-2023-Report.pdf</a></p>
36	11		<b>Rapoarte de evaluare reciprocă sau liste de state sau jurisdicții care au deficiențe KYC/AML/CTF</b>

37	11	FATF	<p><b>FATF has updated the high risk countries lists on [28/June/2024]</b></p> <p>FATF has reviewed and published :</p> <ul style="list-style-type: none"> <li>- the lists of jurisdictions subject to a Call for Action(BLACK), list remained unchanged : North Korea; Iran; Myanmar;</li> <li>- list of jurisdictions under Increased Monitoring(GREY), the updates are: Jamaica and Turkey exit; enter in the list : Monaco and Venezuela. The grey list contains 21 countries : 1/Bulgaria 2/Burkina Faso 3/Cameroon 4/Congo D.R. 5/Croatia 6/Haiti 7/Kenya 8/Mali 9/Monaco 10/Mozambique 11/Namibia 12/Nigeria 13/Philippines 14/Senegal 15/South Africa 16/South Sudan 17/Syria 18/Tanzania 19/Venezuela 20/Vietnam 21/Yemen</li> </ul> <p><a href="https://www.fatf-gafi.org/content/fatf-gafi/en/publications/High-risk-and-other-monitored-jurisdictions/increased-monitoring-june-2024.html">https://www.fatf-gafi.org/content/fatf-gafi/en/publications/High-risk-and-other-monitored-jurisdictions/increased-monitoring-june-2024.html</a></p>
38	11	EU	<p><b>The EU list of high-risk third countries with strategic deficiencies has been updated on [18/January/2024]</b></p> <p>The Commission Delegated Regulation (EU) 2016/1675 regarding high-risk third countries with strategic deficiencies has been updated by C.D.Reg. 2024/163 as follows: Cayman Island and Jordan exit ; NO entries:</p> <p>The list contains 27 countries : 1/Afghanistan(2016) 2/South Africa (2023) 3/Barbados(2020) 4/Burkina Faso(2022) 5/Cameroon(2023) 6/D.R.Congo(2023) 7/Filipine(2022) 8/Gibraltar(2023) 9/Haiti(2022) 10/Jamaica(2020) 11/Mali(2022) 12/Myanmar(2020) 13/Mozambique(2023) 14/Nigeria(2023) 15/Panama(2020) 16/Senegal(2022) 17/Syria(2016) 18/South Sudan(2022) 19/Trinidad and Tobago(2018) 20/Tanzania (2023) 21/Uganda(2016) 22/Vanuatu(2016) 23/Vietnam(2023) 24/Yemen(2016) 25/United Arab Emirates(2023) 26/Iran(2016) 27/D.P.R.Korea(2016)</p> <p><a href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32016R1675">https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32016R1675</a></p> <p><a href="https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024R0163">https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:32024R0163</a></p>
39	11	EU	<p><b>The EU list of non-cooperative jurisdictions for tax purposes has updated on [08/October/2024]</b></p> <p>The EU list of non-cooperative jurisdictions for tax purposes has been updated. Antigua &amp; Barbuda has been removed from the black list and added to grey list, no country added to the black list - Annex I. The black list contains the following countries: 1/ American Samoa 2/ Anguila 3/ Fiji 4/ Guam 5/ Palau 6/ Panama 7/ Rusia 8/ Samoa 9/ Trinidad &amp; Tobago 10/ US Virgin I. 11/ Vanuatu. For the grey list see Annex II - 1/Antigua and Barbuda 2/ Belize 3/ British Virgin Islands 4/ Costa Rica 5/Curacao 6/ Eswatini 7/ Seychelles 8/ Türkiye 9/ Vietnam</p> <p><a href="https://www.consilium.europa.eu/media/vogdaho5/st14269en24.pdf">https://www.consilium.europa.eu/media/vogdaho5/st14269en24.pdf</a></p>
40	11	Moneyval	<p><b>Romania MONEYVAL Mutual Evaluation Report [18/July/2023]</b></p> <p>The report provides a comprehensive assessment of the country's level of compliance with standards set by the Financial Action Task Force (FATF). MONEYVAL notes that Romania has achieved moderate levels of effectiveness in all areas assessed except for international cooperation, where it has achieved a substantial level of effectiveness and has been commended by other countries for its constructive assistance.</p> <p>MONEYVAL's mutual evaluation of Romania highlights that the country demonstrates a fair understanding of ML risks and, to a lesser degree, of TF risks. Romania needs to immediately start implementing mitigating actions that target the highest risks to which the country is exposed.</p> <p><a href="https://rm.coe.int/moneyval-2023-5-mer-romania/1680abfd1c">https://rm.coe.int/moneyval-2023-5-mer-romania/1680abfd1c</a></p>



41	11	FATF	<p><b>FATF Consolidated assessment ratings as of [10/October/2024]</b></p> <p>Through its 9 FATF-Style Regional Bodies, the FATF brings together a global network of 205 jurisdictions that have each committed at the highest political level, to implementing the FATF Recommendations.</p> <p>This table available at link below provides an up-to-date overview of the ratings that assessed countries obtained for effectiveness and technical compliance</p> <p><a href="https://www.fatf-gafi.org/content/dam/fatf-gafi/Global-Network/4th-Round-Ratings.xlsx.coredownload.inline.xlsx">https://www.fatf-gafi.org/content/dam/fatf-gafi/Global-Network/4th-Round-Ratings.xlsx.coredownload.inline.xlsx</a></p>
42	11	FATF	<p><b>[17/October/2024] FATF changes its grey listing criteria to further focus on risk</b></p> <p>The FATF has made major changes to the criteria for putting countries on its lists to relieve pressures on least developed countries and focus on those countries posing greater risks to the international financial system. The changes made by the FATF will ensure the listing process better targets the countries that pose the greatest risk to the international financial system and contributes to more adequate support to low-capacity countries. Under the revised criteria, jurisdictions will be prioritised for active review if they meet the referral criteria and are: (1) an FATF Member; (2) a country on the World Bank High-Income Countries list (excluding those with a financial sector of two or fewer banks); or (3) a country that has financial sector assets above USD 10 billion (measured by broad money). These changes will apply for the next round of assessments. The FATF anticipates that these reforms could reduce by half the number of low-capacity countries being listed in the upcoming assessment cycle.</p> <p><a href="https://www.fatf-gafi.org/en/publications/Fatfgeneral/FATF-grey-listing-criteria.html">https://www.fatf-gafi.org/en/publications/Fatfgeneral/FATF-grey-listing-criteria.html</a></p>
43	11	GRECO	<p><b>[17/October/2024] Switzerland – the 4th Evaluation Round Second Compliance Report</b></p> <p>GRECO has made public the 4th Evaluation Round Second Compliance Report on Switzerland, which deals with corruption prevention in respect of members of parliament, judges and prosecutors. This report was adopted by GRECO at its 97th Plenary Meeting .</p> <p><a href="https://rm.coe.int/fourth-evaluation-round-corruption-prevention-in-respect-of-memebrs-of/1680b1e62d">https://rm.coe.int/fourth-evaluation-round-corruption-prevention-in-respect-of-memebrs-of/1680b1e62d</a></p>
44	12		<b>Informații relevante de la organizații credibile și de încredere</b>
45	12	OCCRP	<p><b>[15/October/2024] A Russian Non-Profit Interferes in Moldova’s EU Referendum — And Builds an Anti-Western Influence Machine</b></p> <p>Backed by fugitive Moldovan oligarch Ilan Shor, the ‘Evrazia’ organization is paying Moldovans to vote against the EU. It’s also a growing part of a larger ideological project to encourage Russia’s neighbors to identify as “Eurasian,” instead of European.</p> <p><a href="https://www.occrp.org/en/feature/a-russian-non-profit-interferes-in-moldovas-eu-referendum-and-builds-an-anti-western-influence-machine">https://www.occrp.org/en/feature/a-russian-non-profit-interferes-in-moldovas-eu-referendum-and-builds-an-anti-western-influence-machine</a></p>
46	12	OCCRP	<p><b>[14/October/2024] Alleged Hong Kong Crypto Swindler Tied to Singapore Money Laundering Syndicate</b></p> <p>Su Weiyi faces charges of theft in Hong Kong in relation to the 2022 collapse of the cryptocurrency platform Atom Asset Exchange. Corporate records show he has business partners linked to money laundering, online scam, and human trafficking investigations in Singapore and the Philippines.</p> <p><a href="https://www.occrp.org/en/scoop/alleged-hong-kong-crypto-swindler-tied-to-singapore-money-laundering-syndicate">https://www.occrp.org/en/scoop/alleged-hong-kong-crypto-swindler-tied-to-singapore-money-laundering-syndicate</a></p>
47	13		<b>Informații de la organizațiile de statistică și mediul academic și altele</b>
48			Nothing relevant during this period

49	14		<b>Informații relevante privind riscurile SB/FT din mass-media</b>
50	14	Mass-media	<p><b>[17/October/2024] Vietnam sentences death row tycoon to life imprisonment for money laundering</b></p> <p>A Vietnamese real estate tycoon was convicted of fraudulently obtaining property worth billions of dollars and sentenced to life in prison, in a case that has been a centerpiece of the government's crackdown on corruption. Truong My Lan was already convicted in April by the same Ho Chi Minh City court of fraud amounting to US\$12.5 billion – nearly 3 per cent of the country's gross domestic product – in a separate case and sentenced to death by lethal injection.</p> <p><a href="https://www.scmp.com/news/asia/southeast-asia/article/3282776/vietnam-sentences-death-row-tycoon-life-imprisonment-money-laundering">https://www.scmp.com/news/asia/southeast-asia/article/3282776/vietnam-sentences-death-row-tycoon-life-imprisonment-money-laundering</a></p>
51	14	Mass-media	<p><b>[17/October/2024] French cement maker Lafarge to face trial on terrorism funding charges</b></p> <p>Cement maker Holcim's Lafarge will face trial in a French court on charges that its Syrian subsidiary financed terrorism and breached European sanctions in order to keep a plant operating, France's anti-terrorism prosecutor and a lead plaintiff said. Investigations continue into allegations that Lafarge was complicit in crimes against humanity, part of the wider probe into how the group kept its factory running in Syria after war broke out in 2011, said the anti-corruption group Sherpa, which brought the criminal complaint against Lafarge.</p> <p><a href="https://www.reuters.com/world/europe/french-cement-maker-lafarge-face-trial-terrorism-funding-charges-2024-10-17/">https://www.reuters.com/world/europe/french-cement-maker-lafarge-face-trial-terrorism-funding-charges-2024-10-17/</a></p>
52	14	Mass-media	<p><b>[17/October/2024] US, allies to monitor North Korea sanction violations with new body</b></p> <p>Eleven countries, including the United States, South Korea and Japan, will launch a new joint body to monitor North Korea sanctions violations, the South's foreign ministry said. The decision follows the dissolution of a U.N. monitoring body six months ago due to a veto from Russia. The new body, called the Multilateral Sanctions Monitoring Team, or MSMT, aims to ensure accurate and ongoing reporting on the implementation of sanctions against Pyongyang. Members include the U.S., South Korea, Japan, France, the United Kingdom, Germany, Italy, the Netherlands, Canada, Australia and New Zealand. The term of the UNSC expert panel, which had overseen sanctions enforcements against North Korea since 2009, expired at the end of April after Russia vetoed the annual renewal of its mandate in March. China abstained.</p> <p><a href="https://www.rfa.org/english/news/korea/us-north-korea-sanction-monitor-10172024003511.html">https://www.rfa.org/english/news/korea/us-north-korea-sanction-monitor-10172024003511.html</a></p>
53	14	Mass-media	<p><b>[16/October/2024] UK exploring sanctions against Israeli ministers, PM Starmer says</b></p> <p>Britain is considering sanctioning Israel's finance minister Bezalel Smotrich and national security minister Itamar Ben-Gvir over comments they made about civilians in Gaza and West Bank settlers, Prime Minister Keir Starmer said. Previous foreign secretary David Cameron had planned to sanction Smotrich and Ben-Gvir before his then-governing Conservative Party lost an election in July, and Starmer told parliament he too was looking at the option.</p> <p><a href="https://www.reuters.com/world/uk/britain-calls-urgent-un-meeting-discuss-gazas-humanitarian-situation-2024-10-16/">https://www.reuters.com/world/uk/britain-calls-urgent-un-meeting-discuss-gazas-humanitarian-situation-2024-10-16/</a></p>
54	14	Mass-media	<p><b>[16/October/2024] Italy plans to raise capital gains tax on bitcoin from 26% to 42%: report</b></p> <p>Italy plans to increase capital gains tax on bitcoin and other cryptocurrencies to 42%, Vice Economy Minister Maurizio Leo said during a press conference on the country's budget for 2025. "We foresee an increase in the tax on bitcoin capital gains from 26% to 42%"</p> <p><a href="https://www.theblock.co/post/321407/italy-capital-gains-tax-bitcoin">https://www.theblock.co/post/321407/italy-capital-gains-tax-bitcoin</a></p>



55	14	Mass-media	<p><b>[16/October/2024] US Seeks 5-Year Sentence for Ilya Lichtenstein, Orchestrator of \$6B Bitfinex Hack</b></p> <p>US prosecutors urged a judge to sentence Ilya Lichtenstein, mastermind of the \$6b Bitfinex hack, to five years in prison for his role in a money-laundering conspiracy. In addition to the five-year term, they also recommended three years of supervised release, according to a court filing on Tuesday. This means that the person, while out of prison, remains under the watchful eye of the criminal justice system. Prosecutors argued that Lichtenstein, who pleaded guilty last year, deserves a longer prison sentence than his wife and accomplice, Heather Morgan. Last week, they recommended an 18-month sentence for Morgan, also known as the “Crocodile of Wall Street.”</p> <p><a href="https://cryptonews.com/news/bitfinex-hack-orchestrator-ilya-lichtenstein-prosecutors-urge-five-years/">https://cryptonews.com/news/bitfinex-hack-orchestrator-ilya-lichtenstein-prosecutors-urge-five-years/</a></p>
56	14	Mass-media	<p><b>[15/October/2024] Italy, Germany, Netherlands short-listed for top job running EU dirty money watchdog</b></p> <p>Italy, Germany and the Netherlands are on the short list for an EU top job running the bloc’s new anti-money laundering body, according to multiple officials. Italy’s Bruna Szego, Germany’s Marcus Pleyer, and Jan Reinder De Carpentier of the Netherlands are the remaining three candidates in the race. The Anti-Money Laundering Authority (AMLA) will supervise cross-border finance companies that are at high risk of money laundering and terrorism financing, and will have the power to issue millions of euros in fines. The AMLA office will open in Frankfurt in early 2025, and will be fully functional by 2028.</p> <p><a href="https://www.politico.eu/article/italy-germany-netherlands-race-top-job-anti-money-laundering-authority-finance/">https://www.politico.eu/article/italy-germany-netherlands-race-top-job-anti-money-laundering-authority-finance/</a></p>
57	14	Mass-media	<p><b>[15/October/2024] Exclusive: A key to Citi's regulatory woes - staff need skills 'enhancement'</b></p> <p>Citigroup has struggled to adequately train employees in risk, compliance and data roles, according to the bank's own assessment, shedding light on why it is taking it years to fix regulatory issues even as billions are spent on an overhaul. Citi's analysis shows the bank has been grappling with a shortage of skilled personnel, finding at times that it did not have the right training and assessment tools to fix its regulatory challenges. The bank, which has for the past four years been operating under two regulatory reprimands must resolve these problems for the decrees to be lifted.</p> <p><a href="https://www.reuters.com/business/finance/key-citis-regulatory-woes-staff-need-skills-enhancement-2024-10-15/">https://www.reuters.com/business/finance/key-citis-regulatory-woes-staff-need-skills-enhancement-2024-10-15/</a></p>
58	14	Mass-media	<p><b>[15/October/2024] Italy-Romania event in Bucharest on combating money laundering</b></p> <p>The economic diplomacy event entitled "Anti-Money Laundering and Financial Education - Italy and Romania: Experiences and Best Practices in line with OECD Standards" was held on 10 October 2024, at the headquarters of the National Bank of Romania. It brought together in Bucharest senior financial sector officials and experts from Italy, Romania, Moldova and international organisations such as the Organisation for Economic Cooperation and Development (OECD) and the European Commission. The initiative, organised by the Italian Embassy in Bucharest in collaboration with the National Bank of Romania, was part of the strategic partnership between Romania and Italy.</p> <p><a href="https://www.ansa.it/amp/english/news/news_from_embassies/2024/10/15/italy-romania-event-in-bucharest-on-combating-money-laundering_46f03060-5d00-4392-a4fb-e7f239b2978a.html">https://www.ansa.it/amp/english/news/news_from_embassies/2024/10/15/italy-romania-event-in-bucharest-on-combating-money-laundering_46f03060-5d00-4392-a4fb-e7f239b2978a.html</a></p>
59	14	Mass-media	<p><b>[15/October/2024] Global money laundering body to consider blacklisting Russia</b></p> <p>The Financial Action Task Force (FATF), the intergovernmental organization that leads the fight against money laundering and terrorism financing, will decide whether to put Russia on its blacklist next week. The Paris-based body regularly evaluates countries according to their commitment to combatting money laundering, terrorism financing, and financing of proliferation of nuclear, chemical, or biological weapons. Being placed on a FATF black or gray list can severely damage a nation’s financial reputation and is seen as economically ruinous for most states. FATF suspended Russia’s membership in February 2023 — one year after Moscow invaded Ukraine — but has so far held back from slashing its ranking. Now, Ukraine has upped its campaign to change that.</p> <p><a href="https://www.politico.eu/article/money-laundering-blacklist-russia-fatf-ukraine-weapons/">https://www.politico.eu/article/money-laundering-blacklist-russia-fatf-ukraine-weapons/</a></p>

60	14	Mass-media	<p><b>[15/October/2024] How Hamas Infiltrated Europe</b></p> <p>An extensive network of Hamas-affiliated officials and activists throughout Europe use a “civilian front” of charities and nonprofits to line the pockets of the terrorist group. The report, titled “Hamas in Europe,” identifies five European countries where it claims Hamas is most active outside of Gaza: the UK, Germany, Italy, the Netherlands, and Belgium. Its hundreds of pages detail the histories of at least a dozen individuals with Hamas ties who are living in Europe while they fundraise, lobby for, and make media appearances on behalf of the terrorist group.</p> <p><a href="https://www.thefp.com/p/how-hamas-infiltrated-europe-report-ngos-israel-gaza">https://www.thefp.com/p/how-hamas-infiltrated-europe-report-ngos-israel-gaza</a></p>
61	14	Mass-media	<p><b>[15/October/2024] US and Canada impose sanctions, blacklist pro-Palestinian group Samidoun</b></p> <p>The United States has imposed sanctions against the Palestinian prisoner support network Samidoun, accusing the organisation of being a “sham charity” that raises funds for a blacklisted, left-wing Palestinian political faction. In a statement on Tuesday, the US Department of the Treasury said Samidoun acts as an international fundraiser for the Popular Front for the Liberation of Palestine (PFLP), which Washington has designated as a “terrorist” group.</p> <p><a href="https://www.aljazeera.com/news/2024/10/15/us-and-canada-impose-sanctions-blacklist-pro-palestinian-group-samidoun">https://www.aljazeera.com/news/2024/10/15/us-and-canada-impose-sanctions-blacklist-pro-palestinian-group-samidoun</a></p>
62	14	Mass-media	<p><b>[15/October/2024] Russia seeks over \$1 billion in damages from Shell</b></p> <p>The Russian General Prosecutor's office was seeking to collect more than 1 billion euro (\$1.09 billion) in damages from international energy major Shell , the RIA news agency reported. Russia's Prosecutor General earlier this month filed a lawsuit against eight units of Shell, which quit Russia following the start of Moscow's military conflict with Ukraine in February 2022, according to the court's website. It cited Shell plc, Shell Energy Europe Limited, Shell Global Solutions International B.V., Shell International Exploration &amp; Production B.V., Shell Neftgaz Development, Shell Exploration &amp; Production Services B.V., Shell Sakhalin Services B.V. and Shell Sakhalin Holdings B.V. among the defendants.</p> <p><a href="https://www.reuters.com/world/europe/russia-seeks-over-1-billion-damages-shell-report-says-2024-10-15/">https://www.reuters.com/world/europe/russia-seeks-over-1-billion-damages-shell-report-says-2024-10-15/</a></p>
63	14	Mass-media	<p><b>[14/October/2024] Money Laundering: US slams Air Peace CEO, Onyema, with new charges</b></p> <p>Chairman/CEO of Air Peace, Allen Onyema, has been charged ‘in a superseding indictment with obstruction of justice for submitting false documents to the government in an effort to end an investigation that resulted in earlier charges of bank fraud and money laundering.’ This was contained in a statement released on by the Justice Department. Ejiroghene Eghagha, the airline’s Chief of Administration and Finance, was also charged for participating in the obstruction scheme, as well as in the earlier bank fraud counts, the statement posted on Justice.gov claimed.</p> <p><a href="https://solacebase.com/money-laundering-us-slams-air-peace-ceo-onyema-with-new-charges/">https://solacebase.com/money-laundering-us-slams-air-peace-ceo-onyema-with-new-charges/</a></p>
64	14	Mass-media	<p><b>[14/October/2024] Israeli mining magnate Steinmetz awaits Greek court ruling on arrest warrant</b></p> <p>Israeli mining magnate Beny Steinmetz has been freed from custody in Greece but banned from travelling outside the country as he awaits a court ruling on a Romanian-issued arrest warrant. Steinmetz was detained by Greek police on Sunday evening, hours after arriving on a private aircraft at Athens International Airport, police sources said. A European arrest warrant has been issued against him on behalf of Romania on accusations related to participation in a criminal organisation, a police official said on condition of anonymity.</p> <p><a href="https://www.reuters.com/world/israeli-mining-magnate-held-greece-romanian-arrest-warrant-2024-10-14/">https://www.reuters.com/world/israeli-mining-magnate-held-greece-romanian-arrest-warrant-2024-10-14/</a></p>

65	14	Mass-media	<p><b>[14/October/2024] Russia's shadow fleet of oil tankers grows despite western sanctions</b></p> <p>Russia's shadow fleet of oil tankers is expanding, according to research, transporting up to 70% of the country's seaborne oil despite western efforts to curb Moscow's wartime energy revenues. The volume of Russian oil being transported by poorly maintained and underinsured tankers has almost doubled in a year to 4.1m barrels a day by June, according to a report published by the Kyiv School of Economics (KSE).</p> <p><a href="https://www.theguardian.com/world/2024/oct/14/russias-shadow-fleet-oil-tankers-grows-western-sanctions">https://www.theguardian.com/world/2024/oct/14/russias-shadow-fleet-oil-tankers-grows-western-sanctions</a></p>
66	14	Mass-media	<p><b>[14/October/2024] ECB Raises Red Flags: Serbian Bank's Takeover Of Addiko Bank Faces Scrutiny</b></p> <p>The European Central Bank (ECB) has raised concerns over Serbian lender Alta Pay's bid to acquire Austria's Addiko Bank. The ECB's intervention stems from worries about potential money laundering risks associated with the takeover. This case highlights the growing importance of regulatory oversight in cross-border banking transactions. With financial institutions increasingly facing stricter compliance standards, the ECB's challenge reflects its focus on maintaining transparency and financial integrity within the European Union's banking sector.</p> <p><a href="https://www.gfmreview.com/banking/ecb-raises-red-flags-serbian-bank-s-takeover-of-addiko-bank-faces-scrutiny">https://www.gfmreview.com/banking/ecb-raises-red-flags-serbian-bank-s-takeover-of-addiko-bank-faces-scrutiny</a></p>
67	15	 Inițiative și schimbări legislative	
68	15		Nothing relevant during this period
69	Author : Ion Radu  <a href="https://www.linkedin.com/in/ion-radu-aml/">https://www.linkedin.com/in/ion-radu-aml/</a>		
70	<p><b>*) Note:</b></p> <p><b>-US:</b> all property and interests in property of the designated individuals and entities that are in the U.S. or in the possession or control of U.S. persons must be blocked and reported to OFAC. In addition, any entities that are owned, directly or indirectly, 50 percent or more by one or more blocked persons are also blocked. OFAC's regulations generally prohibit all dealings by U.S. persons or within the United States (including transactions transiting the United States) that involve any property or interests in property of designated or otherwise blocked persons.</p> <p><b>-EU:</b> restrictive measures ('sanctions') establish that the freezing of funds and economic resources covers the assets belonging to, owned, held or controlled by those specifically listed in the respective annexes to the EU legal acts.</p>		
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